

**Fees within the Department of Financial Regulation**

Joint Fiscal Office (fee data, other than deposit fund information, from Dept. of Financial Regulation  
7-Apr-22

	Fee Type	Last Year Changed (Legislative Session)	Current Fee	Approximate No. of Filings	Revenues (if over \$500,000)	Fund of deposit	Swept to General Fund?
Securities	Security Sales Representatives	2019	120	116,000	13,920,000	Securities Regulation and Supervision Fund	yes
	Securities Registration	2016	2000 Initial / 1500 Renewal	26,000	40,500,000	General Fund	n/a
	Security Dealers	2006	250	2,963	740,700	General Fund	n/a
	Securities Branch Office	2006	100	500		General Fund	n/a
Banking	Lic Lender Renewal Fee	2009	1,200	679	815,300	Financial Institution Supervision Fund	no
	Debt Adjusters Application Fee	2019	250	1		Financial Institution Supervision Fund	no
	Money Trans License Fee	2019	500	12		Financial Institution Supervision Fund	no
	Money Trans Renewal Fee	2019	500	107		Financial Institution Supervision Fund	no
	Cons Litigation Funding Registration	2019	200	4		Financial Institution Supervision Fund	no
	Lender/Mortgage Broker/Servicer application	2019	0	4		Financial Institution Supervision Fund	no
	Lender/Mortgage Broker/Servicer Registration	2019	0	4		Financial Institution Supervision Fund	no
	Lender/Mortgage Broker/Servicer Renewal	2019	0	28		Financial Institution Supervision Fund	no
	Lender/Mortgage Broker application	2019	0	5		Financial Institution Supervision Fund	no
	Lender/Mortgage Broker Registration	2019	0	5		Financial Institution Supervision Fund	no
	Lender/Mortgage Broker Renewal	2019	0	34		Financial Institution Supervision Fund	no
	Lender/Servicer Application	2019	0	4		Financial Institution Supervision Fund	no
	Lender/Servicer Registration	2019	0	4		Financial Institution Supervision Fund	no
	Lender/Servicer Renewal	2019	0	27		Financial Institution Supervision Fund	no
	Mortgage Broker/Servicer Application	2019	0	1		Financial Institution Supervision Fund	no
	Mortgage Broker/Servicer Registration	2019	0	1		Financial Institution Supervision Fund	no
	Mortgage Broker/Servicer Renewal	2019	0	7		Financial Institution Supervision Fund	no
	Loan Solicitation Ammendment Fee	2017	100	1		Financial Institution Supervision Fund	no
	Loan Solicitation Application Fee	2017	500	20		Financial Institution Supervision Fund	no
	Loan Solicitation License Fee	2017	500	18		Financial Institution Supervision Fund	no
	Loan Solicitation Renewal Fee	2017	500	11		Financial Institution Supervision Fund	no
	Cons Litigation Funding Renewal	2017	200	0		Financial Institution Supervision Fund	no
	Cons Litigation Funding Exams/Invest	2017	0	0		Financial Institution Supervision Fund	no
	Loan Servicers Ammendment Fee	2009	100	28		Financial Institution Supervision Fund	no
	Loan Services Application Fee	2009	1,000	37		Financial Institution Supervision Fund	no
	Loan Servicers License Fee	2009	1,000	32		Financial Institution Supervision Fund	no
	Loan Servicers Renewal Fee	2009	1,000	242		Financial Institution Supervision Fund	no
	Debt Adjusters License Fee	2009	250	0		Financial Institution Supervision Fund	no
	Debt Adjusters Amend Fee	2009	100	0		Financial Institution Supervision Fund	no
	Debt Adjusters Renewal Fee	2009	250	24		Financial Institution Supervision Fund	no
	Licensed Lender License Fee	2009	1,000	104		Financial Institution Supervision Fund	no
	Lic Lender App Application Fee	2009	1,000	109		Financial Institution Supervision Fund	no
	Mortgage Loan Originator Lic	2009	50	820		Financial Institution Supervision Fund	no
	Mortgage Loan Originator Renew Fee	2009	100	1,916		Financial Institution Supervision Fund	no
	Mortgage Loan Originator Amend	2009	50	228		Financial Institution Supervision Fund	no
	Mortgage Loan Origin Application	2009	50	820		Financial Institution Supervision Fund	no
	Mortgage Brokers App Fee	2005	500	55		Financial Institution Supervision Fund	no
	Mortgage Brokers Lic Fee	2005	500	51		Financial Institution Supervision Fund	no
	Mortgage Brokers Renewal Fee	2005	500	303		Financial Institution Supervision Fund	no
	Sales Finance Application Fee	2005	350	14		Financial Institution Supervision Fund	no
	Sales Finance License Fee	2005	350	14		Financial Institution Supervision Fund	no
	Sales Finance Renewal Fee	2005	350	188		Financial Institution Supervision Fund	no
	Bank Special Purpose App Fee	2005	5,000	0		Financial Institution Supervision Fund	no
	Bank Spec Purp Loan Prod Fee	2005	750	1		Financial Institution Supervision Fund	no
	Credit Union Application Fee	2005	2,500	0		Financial Institution Supervision Fund	no
	Money Trans Application Fee	2001	1,000	19		Financial Institution Supervision Fund	no
	Money Trans Amendment Fee	2001	500	10		Financial Institution Supervision Fund	no
Money Trans Exam/Investigation	2001	375	5		Financial Institution Supervision Fund	no	
Check Cashers Renewal Fee	2001	500	3		Financial Institution Supervision Fund	no	
Independent Trust Co Appl Fee	1999	5,000	0		Financial Institution Supervision Fund	no	
Non-Suff Fund Check Charges	1997	20	1		Financial Institution Supervision Fund	no	
Lic Lender Amendment Fee	1995	100	111		Financial Institution Supervision Fund	no	
Mortgage Brokers Amend Fee	1995	100	50		Financial Institution Supervision Fund	no	

	Fee Type	Last Year Changed (Legislative Session)	Current Fee	Approximate No. of Filings	Revenues (if over \$500,000)	Fund of deposit	Swept to General Fund?
	Sales Finance Amendment Fee	1995	100	4		Financial Institution Supervision Fund	no
Insurance	Insurance Appointments	2019	60	425,000	25,500,000	Insurance Regulatory and Supervision Fund	yes
	Ins Agent App Fee	2001	30	33,026	990,792	Insurance Regulatory and Supervision Fund	yes
	Insurance Producers	1999	30	173,807	5,214,215	Insurance Regulatory and Supervision Fund	yes
	Ins Surplus Lines Brokers	2002	400	0		Insurance Regulatory and Supervision Fund	yes
	Ins Adjusters	2001	60	0		Insurance Regulatory and Supervision Fund	yes
	Ins Title Agents	2001	30	0		Insurance Regulatory and Supervision Fund	yes
	Ins Appraisers	2001	60	0		Insurance Regulatory and Supervision Fund	yes
	Ins Limited Lines	2001	30	0		Insurance Regulatory and Supervision Fund	yes
	Ins Public Adjuster	2001	200	0		Insurance Regulatory and Supervision Fund	yes
	Ins Consultants	2001	200	0		Insurance Regulatory and Supervision Fund	yes
	Ins Managing Gen Agents	2001	300	0		Insurance Regulatory and Supervision Fund	yes
	Ins Reinsurance Intermediaries	2001	200	0		Insurance Regulatory and Supervision Fund	yes
	Ins Company License Renewal	2001	300	1,257		Insurance Regulatory and Supervision Fund	yes
	Ins Company Application Fee	2001	200	78		Insurance Regulatory and Supervision Fund	yes
	Ins Bail Bond Agent	1999	30	0		Insurance Regulatory and Supervision Fund	yes
	Ins Business Entity Producers	1999	30	0		Insurance Regulatory and Supervision Fund	yes
	Ins Viatical Settlement Broker	1993	200	0		Insurance Regulatory and Supervision Fund	yes
	Rates & Forms P & C	1991	50	4,987		Insurance Regulatory and Supervision Fund	yes
	Rates & Forms Life/Annuity	1991	50	1,300		Insurance Regulatory and Supervision Fund	yes
	Rates & Forms Health	1991	150	1,057		Insurance Regulatory and Supervision Fund	yes
Ins Co Annual Statement Fee	1985	100	1,180		Insurance Regulatory and Supervision Fund	yes	
Captives	Captive Ins Registrations	1981 & 2010	500	1,037	518,701	Captive Insurance Regulatory and Supervision Fund	yes
Fines	Insurance Division Fines	NA	17,711	16		General Fund	n/a
	Bank Fines	NA	500	1		General Fund	n/a
	Bank Fines	NA	500	1		Financial Institution Supervision Fund	no
	Securities Investigation	2007	20,000	1		Securities Regulation and Supervision Fund	yes
	Securities Fines	2006	93,309	14		General Fund	n/a
Exams	Ins Retaliatory Fee	2001	1,016	6,000	6,096,000	Insurance Regulatory and Supervision Fund	yes
	Captive Exams	1981	27,833	74	2,059,616	Captive Insurance Regulatory and Supervision Fund	yes
	Debt Adjusters Exams/Invest	2009	2,140	5		Financial Institution Supervision Fund	no
	Loan Servicer Exams/Invest	2009	2,759	11		Financial Institution Supervision Fund	no
	Money Servicers Assessment	2009	2,764	27		Financial Institution Supervision Fund	no
	Credit Unions Exams	2005	0	0		Financial Institution Supervision Fund	no
	Credit Union Assessment	2001	15,248	9		Financial Institution Supervision Fund	no
	Bank Assessment	2001	68,688	6		Financial Institution Supervision Fund	no
	Trust Companies (All)	2001	43,849	3		Financial Institution Supervision Fund	no
	Ins Exam Fee Contractors	2000	26,286	7		Insurance Regulatory and Supervision Fund	yes
	Insurance Exam Expense Contrac	2000	2,079	5		Insurance Regulatory and Supervision Fund	yes
	Ins Exam Fee Dept Staff	2000	10,784	22		Insurance Regulatory and Supervision Fund	yes
	Ins Exam Expense Dept Staff	2000	1,024	2		Insurance Regulatory and Supervision Fund	yes
	Mkt Conduct Exam Exp Ins Staff	2000	553	8		Insurance Regulatory and Supervision Fund	yes
	Mkt Conduct Exam Fee Dept Staf	2000	4,208	13		Insurance Regulatory and Supervision Fund	yes
	Mkt Conduct Exam Exp Contracto	2000	419	1		Insurance Regulatory and Supervision Fund	yes
	Mkt Conduct Exam Fee Contracto	2000	6,824	13		Insurance Regulatory and Supervision Fund	yes
	Sales Finance Investigator	1995	1,786	14		Financial Institution Supervision Fund	no
	Lic Lender Exams/Invest	1995	2,964	36		Financial Institution Supervision Fund	no
	Mortgage Broker Investigator	1995	1,840	5		Financial Institution Supervision Fund	no